

LARGE CARDINALS AND THE ITERATIVE CONCEPTION OF SET: IS EVERY SET COUNTABLE?

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- ▶ You can find these slides posted under the 'Blog' section of my website (<https://neilbarton.net/>).

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- ▶ Indeed, the study of how they relate to consistency, model-building, and determinacy axioms has been one of the real great **successes** of the last century in set-theoretic mathematics.
- ▶ A lot of **philosophical** attention has been devoted to the justificatory case for large cardinals.
- ▶ The idea that the universe of sets should be **maximal** (or 'rich' or 'generous', or whatever) has sometimes been mobilised in their favour.

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- ▶ §1 What are large cardinals and why do we need them?
- ▶ §2 Maximality and large cardinals
- ▶ §3 Reinhardt cardinals and Choice
- ▶ §4 The Inner Model Hypothesis and Inaccessibles
- ▶ §5 Forcing Saturation and the Power Set Axiom
- ▶ §6 Strong absoluteness...

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- ▶ §6 Strong absoluteness...**speculative workshop material warning!**
- ▶ §7 The foundational role for large cardinals on these perspectives

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- ▶ This can be done by **apparent** brute size (e.g. inaccessible, hyper-inaccessible, Mahlo).
- ▶ Or through certain **model-building** properties (e.g. 0^\sharp , the relationship between the least strong and least superstrong cardinal).

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- ▶ This gives us a first desirable use for large cardinals: Provide the **indices** of strength for **any conceivable** mathematics.

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- ▶ One might then argue: If we justify the large cardinals, so we justify a **nice** theory (perhaps this even constitutes justification **in itself**, but I'll set this aside).

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- ▶ Okay so, large cardinals are:
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- ▶ But why should we think that they are **true**?

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- ▶ This was (reportedly) Jensen's point concerning L . (Since it's a workshop: Does anyone know where Jensen says this?)
- ▶ In this sense, we're not going to say anything new. But, it **is** argued that large cardinals represent principles that capture **maximality**.

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*“As with any large cardinal, positing a supercompact can be viewed as a way of assuring that the stages **go on and on**; for example, below any supercompact cardinal κ there are κ measurable cardinals, and below any measurable cardinal λ , there are λ inaccessible cardinals.”* ([Maddy, 2011] pp. 125–126)

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- ▶ That's **enough** for now. But examples can be **multiplied** (e.g. [Hauser, 2001], **parts** of some textbooks (e.g. [Drake, 1974]).

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- ▶ This isn't too challenging with examples like L , since that looks like a **minimality** principle (we'll see some discussion of Maddy's notion of when one theory maximises over another later).
- ▶ But what if we can find **maximality** principles that kill large cardinals?

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- ▶ If you've got a set x formed at $V_{\alpha+1}$, then you've got all members of x at latest at V_{α} , and so all elements of members of x at latest at V_{α} , and so a Choice set for x gets formed at latest at $V_{\alpha+1}$.

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- ▶ (There are counterarguments here, but I **don't** think they pass muster, and you can **bolster** this argument in various ways (e.g. with second-order logic as in [Potter, 2004].)).

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- ▶ Moreover, there's an entire choiceless **choiceless hierarchy** with some nice consistency implications (see [Woodin, 2011] here), that would **outstrip** the usual hierarchy.

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- ▶ **NO!** The formation of Choice sets in V **prohibits** the formation of a stage with a Reinhardt cardinal.
- ▶ On the assumption that Reinhardts are consistent with **ZF** and realised in inner models, the action of the axiom asserting the existence of a Reinhardt cardinal is thus to **minimise width**.

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- ▶ Maybe this is a helpful way at getting at the idea of **all possible** subsets?

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[Friedman et al., 2008] Suppose that V satisfies the IMH. Then V contains inner models with **measurable cardinals** (of arbitrarily large Mitchell order).

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 - (I) Has an inner model with \mathbf{T}_1 .
 - (II) Has a truncation at an inaccessible with \mathbf{T}_1 .
 - (III) Has an inner model of a truncation at an inaccessible with \mathbf{T}_1 .
- ▶ **and** has sets outside that interpretation.

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- ▶ \mathbf{T}_2 **properly maximises** over \mathbf{T}_1 , when one **can't** go back the other way.
- ▶ Usual example: $V = L$ vs. measurable cardinals.

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(see [Barton, S]) **NBG** + IMH **properly maximises** over **ZFC** + “There are α -many measurables” for every α .

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If ϕ is a large cardinal axiom, **NBG** + IMH + “There exists an inner model for ϕ ” properly maximises over **ZFC** + ϕ .

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If ϕ is a large cardinal axiom, **NBG** + IMH + “There exists an inner model for ϕ ” **properly maximises** over **ZFC** + ϕ .

- ▶ Maddy herself acknowledges that her notion isn't perfect, but it at least gives us a **precise sense** in which we might say that the Inner Model Hypothesis **really does** capture some maximising features.

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- ▶ They can be understood as asserting that the universe has been **saturated** under forcing of a particular kind.
- ▶ In this way we might think of forcing as **generating** subsets given some subsets you already have.

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- ▶ Maybe there is a different notion of collecting **all possible** subsets at successor stages.
- ▶ Maybe the Powerset Axiom is a kind of **large cardinal axiom**, that can only be true when we **leave out** subsets from the hierarchy.

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is defined as follows (within **FSST**):

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- (II) $N_{\alpha+1} = \text{Def}(N_\alpha) \cup \{G \mid \exists \mathbb{P} \in N_\alpha \exists \mathcal{D} \in N_\alpha \text{ “}\mathbb{P} \text{ is a forcing poset } \mathcal{D} \text{ is a family of dense sets of } \mathbb{P} \text{ and } G \text{ intersects every member of } \mathcal{D}\text{”}\}$
- (III) $N_\lambda = \bigcup_{\beta < \lambda} N_\beta$
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Who can spot the **problem**?

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- ▶ We need the generics to be fed in **slowly** and **unboundedly**.
- ▶ We can commit to a **restricted** form of possibility: You can only ever grab at the 'next' generic in line.
- ▶ This is codified by a **well-order** R , and we have:

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- ▶ F clearly **satisfies FSST**.
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- ▶ However, we do have the following, if we modify Maddy's definition to consider theories extending **ZFC**–Powerset:

FACT.

Where ϕ is a large cardinal axiom, **FSST** + “There is an inner model for **ZFC** + ϕ ” **properly maximises** over **ZFC** + ϕ .

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- ▶ Are there **natural** axioms that imply that every set is countable, but would also **maximise** over standard **ZFC**-style set theories without artifice?
- ▶ Well, we are now a lot more **free** with what parameters we can have with our absoluteness principles:

DEFINITION.

The **Extreme Inner Model Hypothesis** (or EIMH) states that if $\phi(\vec{a})$ is a formula containing **arbitrary parameters** $\vec{a} \in V$, then if $\phi(\vec{a})$ is true in an inner model of an outer model of V , then $\phi(\vec{a})$ is true in an inner model of V .

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- ▶ But maybe there are versions of this that aren't so bad (e.g. just use **ordinal** parameters).
- ▶ There should be a **whole space** of hypotheses here...
- ▶ But both the **mathematics** and the **philosophy** needs to be worked out here—it's unclear what the space of positions looks like, and it's unclear how we might have an iterative picture.

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- ▶ What then of the **foundational roles** of large cardinals discussed earlier?
- ▶ Well, the indexing of consistency strength is **unaffected**.
- ▶ The case for determinacy is **in principle unaffected**, since the equivalence is actually with the **existence of models**, e.g.

THEOREM.

TFAE:

1. Projective Determinacy (schematically rendered).
 2. For every $n < \omega$, there is a fine-structural, countably iterable inner model \mathfrak{M} such that $\mathfrak{M} \models$ “There are n Woodin cardinals”.
- ▶ As it happens though, **some** of the principles we have considered do kill PD (e.g. IMH).

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- ▶ PD is, for example, **perfectly compatible** with **FSST**.
- ▶ We can also come up with IMH-like principles that kill **some** large cardinals but allow for PD (for example, just modify the IMH to only allow universes containing a proper class of Woodins).

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- ▶ Even if the determinacy **does** fail, given an **inner model** containing the large cardinal (which we often have for the theories discussed here), we can at least have a **context** in which the 'canonical' construction can be carried out.

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- ▶ So whether you think these perspectives interfere with the foundational role for large cardinals, is somewhat dependent on **exactly** what you need/want.

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- ▶ But we need more real **philosophical** labour here!

Thanks! Discussion!
Hugely grateful to:
FWF
Sy Friedman



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